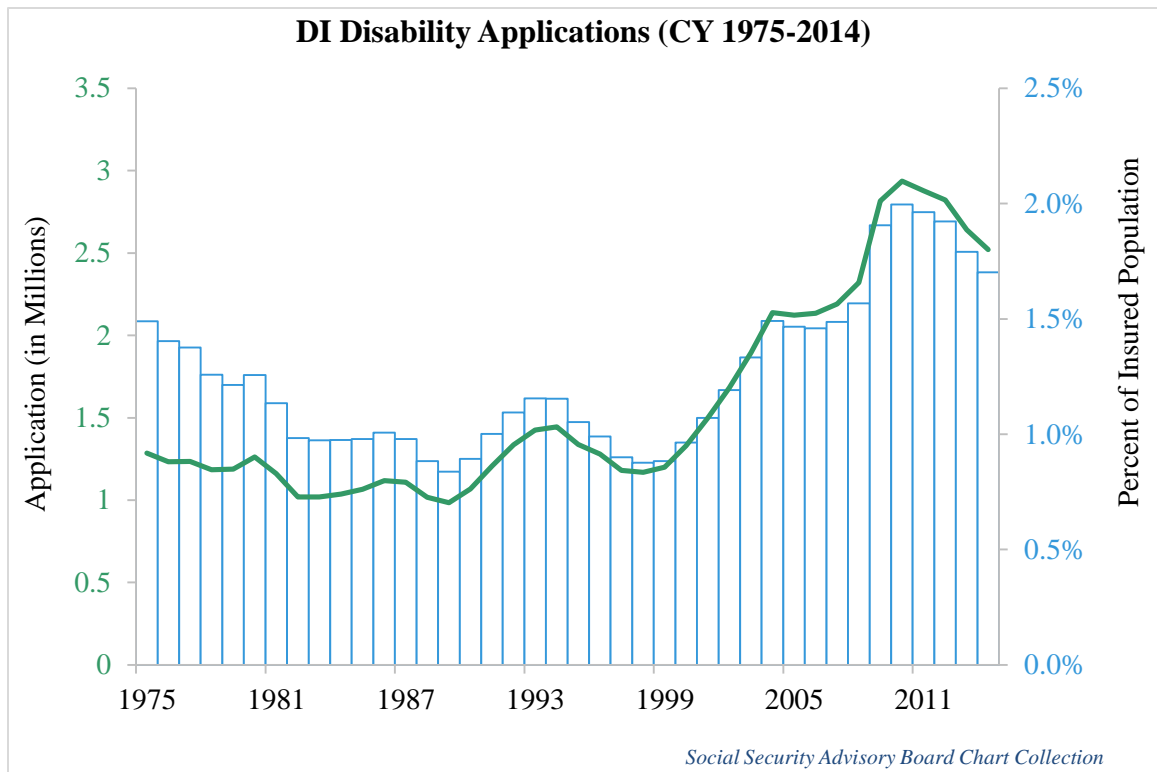


Chapter 1: Applications for Disability Benefits

Social Security Advisory Board



Chart 1a: DI Disability Applications



The number of DI applications was fairly level from 1975 to 1990, but declined as a share of those insured because the rapid increase in women’s labor force participation increased the ranks of those insured. DI applications, in numbers and as a share of those insured increased in the early 1990s, then subsided beginning in 1995. They surged again between 1999 and 2005, and after leveling off for several years grew dramatically from 2009 to 2010 largely due to the recent economic recession. After the peak in 2010, the number of DI applications began to decline.

Sources:

SSDI Applications & Insured Population data:

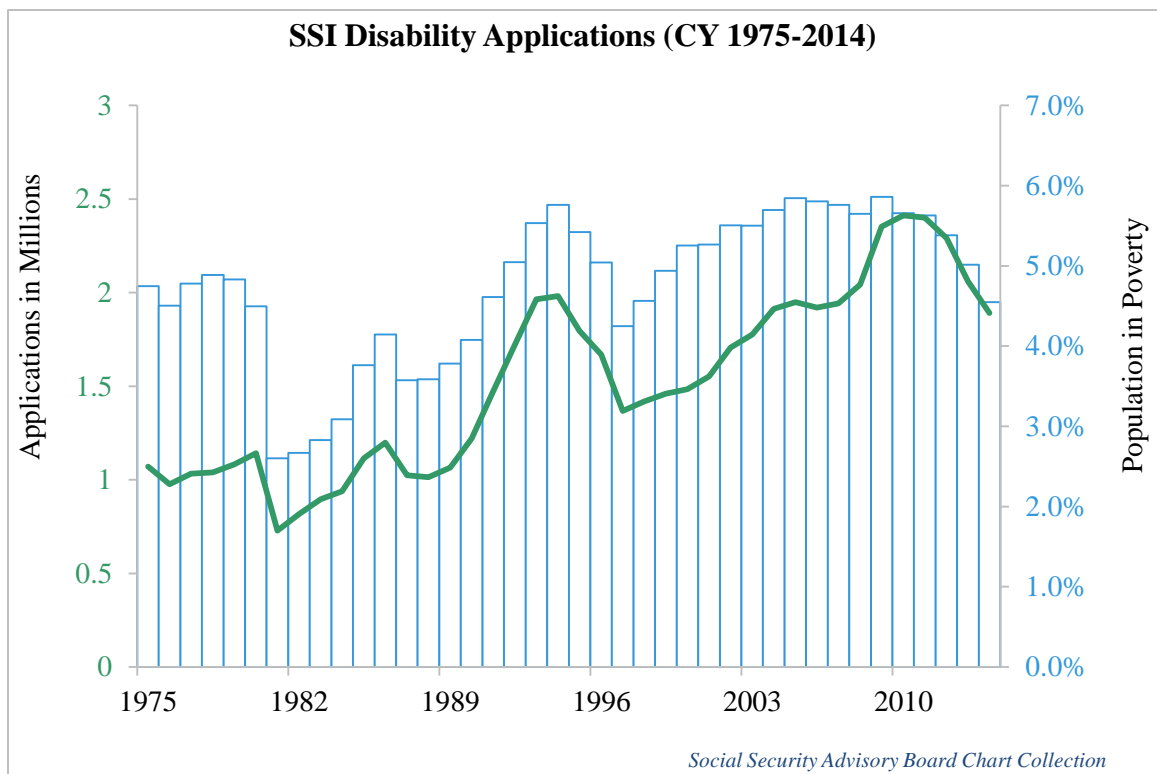
U.S. Social Security Administration, Office of Retirement and Disability Policy, *Annual Statistical Supplement, 2015*, table 6.7C, <http://www.ssa.gov/policy/docs/statcomps/supplement/2014/6c.html#table6.c7>

Disability Insured Workers:

U.S. Social Security Administration, Office of the Chief Actuary, *2014 Trustees Report estimates*, data received September 2014.

Note: Population and insured numbers include those under normal retirement age at the end of each calendar year.

Chart 1b: SSI Disability Applications



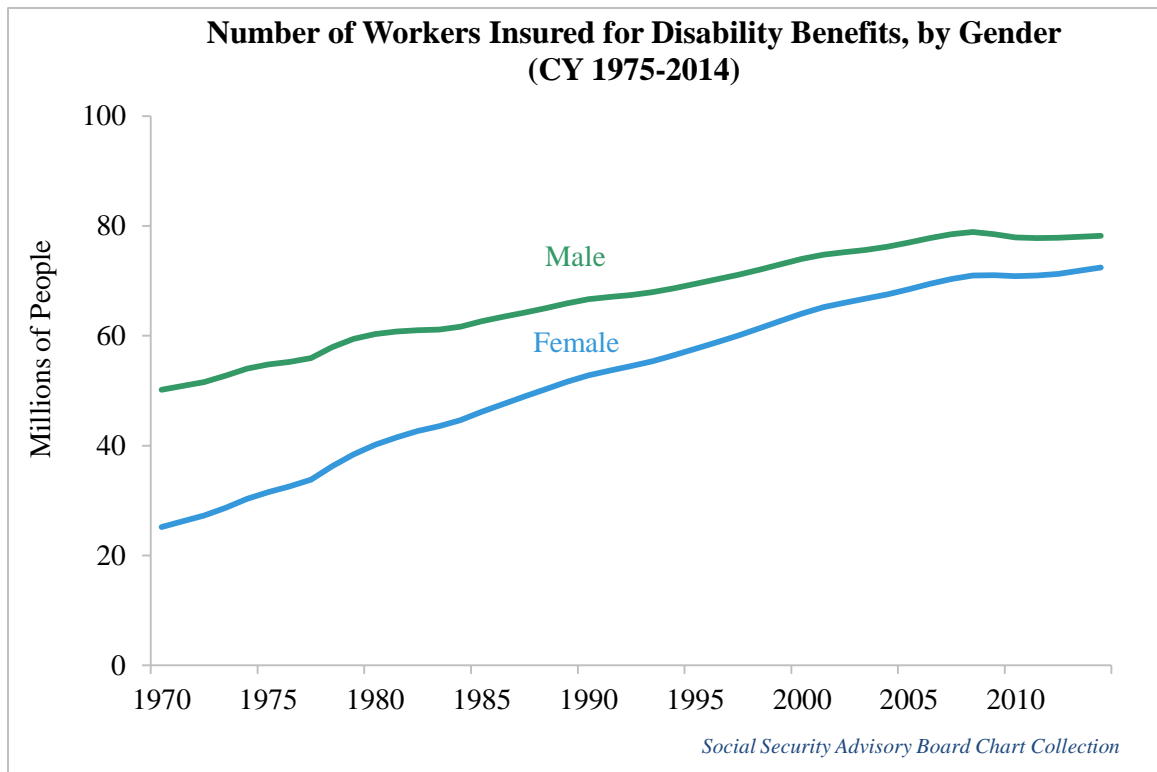
SSI applications increased faster than DI applications from the early 1980's though the early 1990s. The sharp spike after 1990 was due to the Supreme Court's 1990 *Zebley* decision which made the criteria for determining disability for children less strict and more comparable to the standards used for determining disability for adults. The 1996 Personal Responsibility and Work Opportunity Act modified the impact of the *Zebley* decision and made other changes. SSI applications declined sharply from 1995 to 1997 but began to increase again in 1998. Although the total number of SSI disability applications has also increased since the recession, there has been no noticeable increase in applications as a percent of the population in poverty. Numbers of applications for DI and SSI are not additive because some applicants apply for benefits under both programs.

Source:

U.S. Social Security Administration, *Annual Report of the Supplemental Security Income Program, 2015*, table IV.BI.
<http://www.ssa.gov/oact/ssir/SSI15/ssi2015.pdf>

U.S. Census Bureau, Current Population Survey, *Annual Social and Economic Supplement, 2014 Poverty Tables* - table POV46 (weighted person count), http://www.census.gov/hhes/www/cpstables/032015/pov/pov46_001_10050.htm

Chart 2a: Disability Benefits for Insured Workers

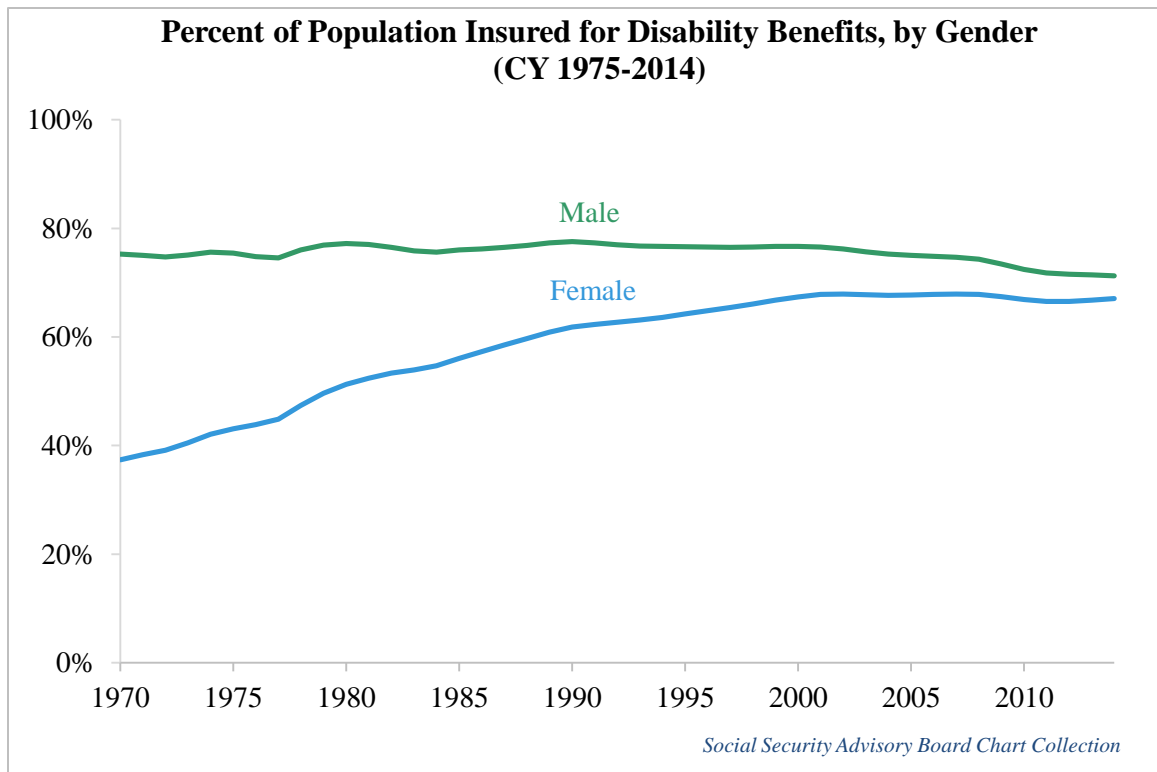


The overall number of workers insured for disability benefits went from just over 86 million in 1975 to approximately 151 million by 2013, representing a 76 percent increase. The number of insured men went from almost 55 million in 1975 to 78 million in 2013, an increase of 42 percent. The number of insured women saw the largest growth in this category, going from 31.5 million in 1975 to 72.4 million by 2014 – an increase of 130 percent. Women were 37 percent of the insured population in 1975; by 2014, they made up 48 percent of the insured population.

Source: U.S. Social Security Administration, Office of the Chief Actuary, 2015 Trustees Report estimates. Data last received August 2015.

Note: Population and insured numbers include those under normal retirement age at the end of each calendar year.

Chart 2b: Disability Benefits for Insured Population

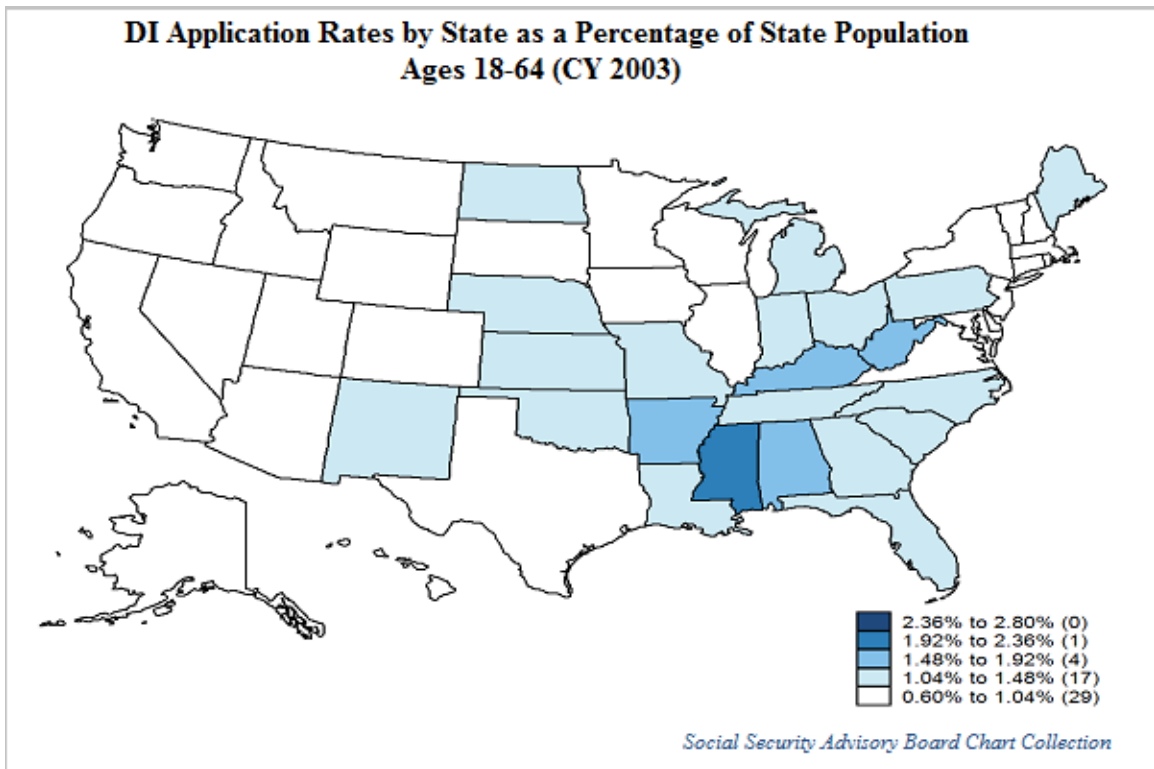


While the raw numbers demonstrate an obvious increase in disability insured status for both men and women, a slightly different story can be told when one looks at it in percentage terms. For example, the percentage of working age men insured for disability has remained relatively flat since 1970, and has actually slightly decreased over the past few years. The percentage of working age women insured for disability has generally increased over the years, but actually began to level off around the year 2000.

Source: U.S. Social Security Administration, Office of the Chief Actuary, 2015 Trustees Report estimates. Data last received August 2015.

Note: Population and insured numbers include those under normal retirement age at the end of each calendar year.

Chart 3: DI Application Rates, 2003



Application rates (benefit applications received as a percentage of the population insured for disability benefits) ranged from 0.6 percent in Hawaii to 2.0 percent in Mississippi. The highest DI application rates are generally in the Southeast.

Source:

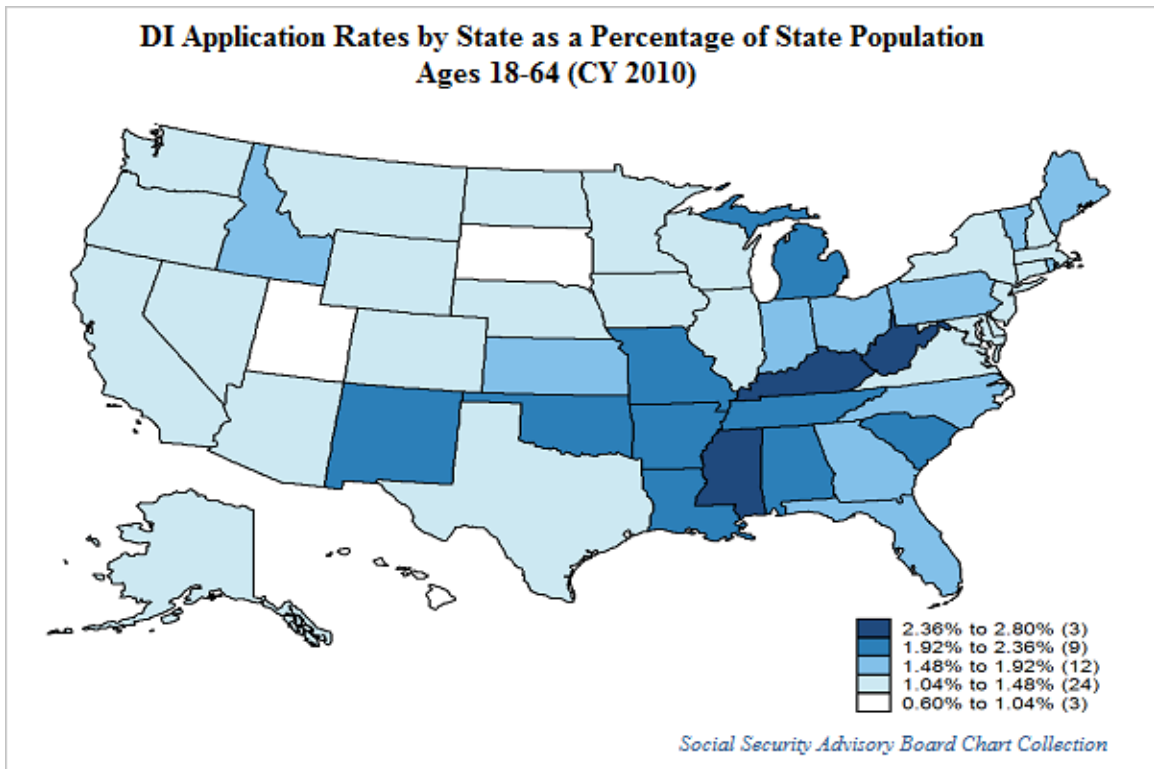
DI claim receipts data:

U.S. Social Security Administration, Office of Disability Determinations, *District Office Workload Report*, data last received July 2014.

U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

Chart 3: DI Application Rates, 2010



DI application rates (benefit applications received at the State agencies as a percentage of total State population ages 18 through 64) in 2010 ranged from 1 percent in Utah, South Dakota, and Hawaii to 2.8 percent in Mississippi. Though not shown on this map, Puerto Rico is also included in the data set and had a 1.3 percent DI application rate in 2010.

Source:

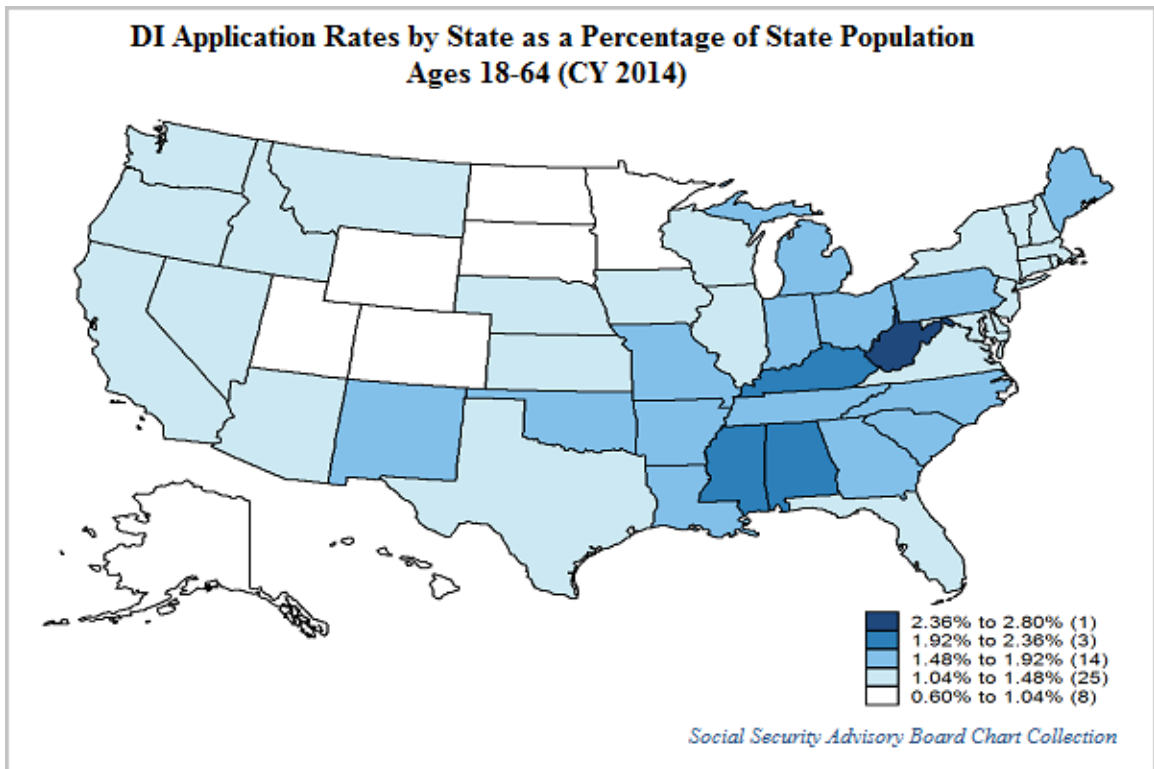
DI claim receipts data:

U.S. Social Security Administration, Office of Disability Determinations, *District Office Workload Report*, data last received July 2014.

U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

Chart 3: DI Application Rates, 2014



DI application rates (benefit applications received at the State agencies as a percentage of total State population ages 18 through 64) in 2010 ranged from 1 percent in Utah, South Dakota, and Hawaii to 2.8 percent in Mississippi. Though not shown on this map, Puerto Rico is also included in the data set and had a 1.3 percent DI application rate in 2014.

Source:

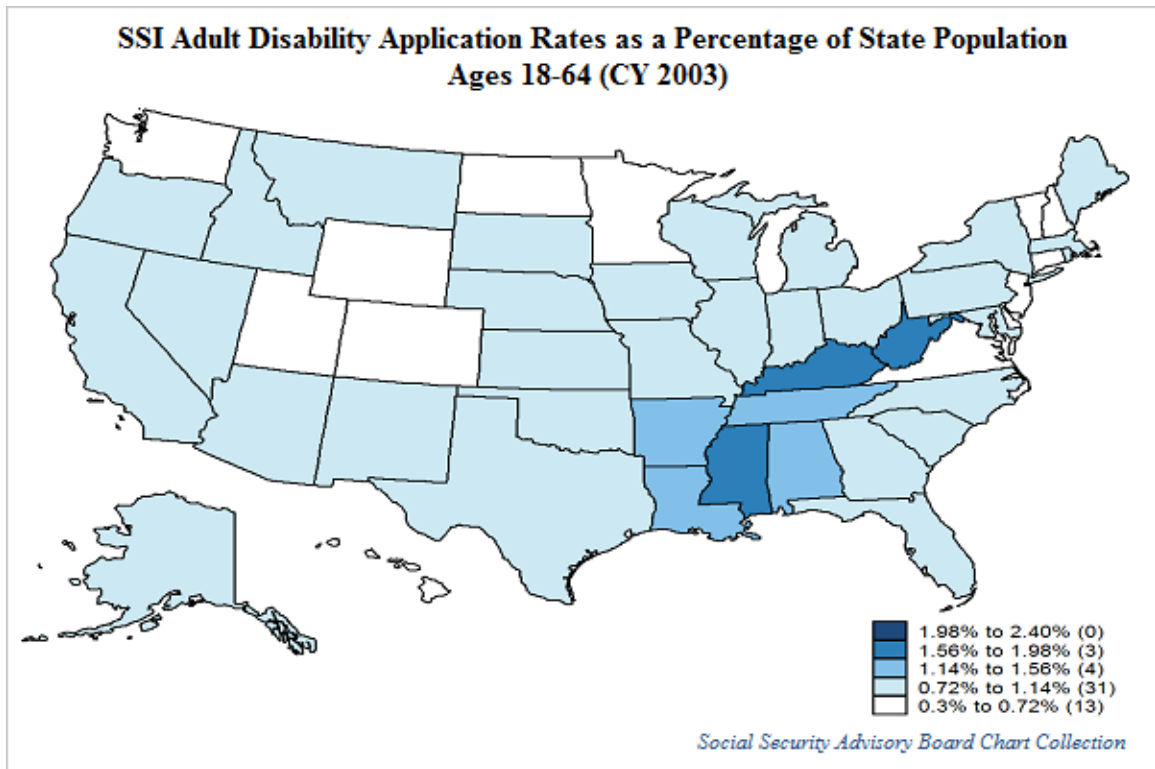
DI claim receipts data:

U.S. Social Security Administration, Office of Disability Determinations, *District Office Workload Report*, data last received July 2014.

U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

Chart 4a: SSI Adult Disability Application Rates, 2003



SSI adult application rates as a percentage of the population ages 18 to 64 show a similar pattern to DI application rates. Application rates, ranging from 0.5 percent to 1.9 percent.

Source:

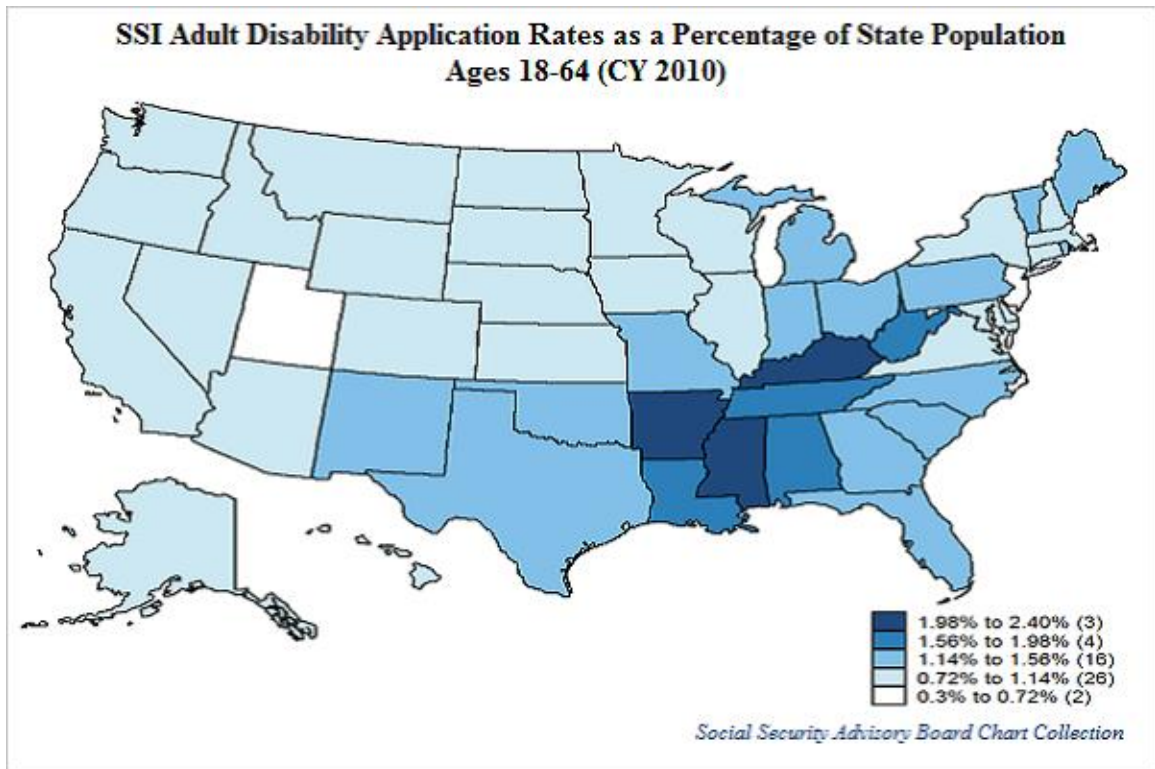
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2003*, table 45, http://ssa.gov/policy/docs/statcomps/ssi_asr/2003/sect09.html

Chart 4a: SSI Adult Disability Application Rates, 2010



As a percentage of State population ages 18 through 64, SSI adult application rates in 2010 ranged from 0.6 percent in Utah to 2.3 percent in Mississippi, are higher than our last report on this subject in 2003, when they ranged from 0.5 percent to 1.9 percent.

Source:

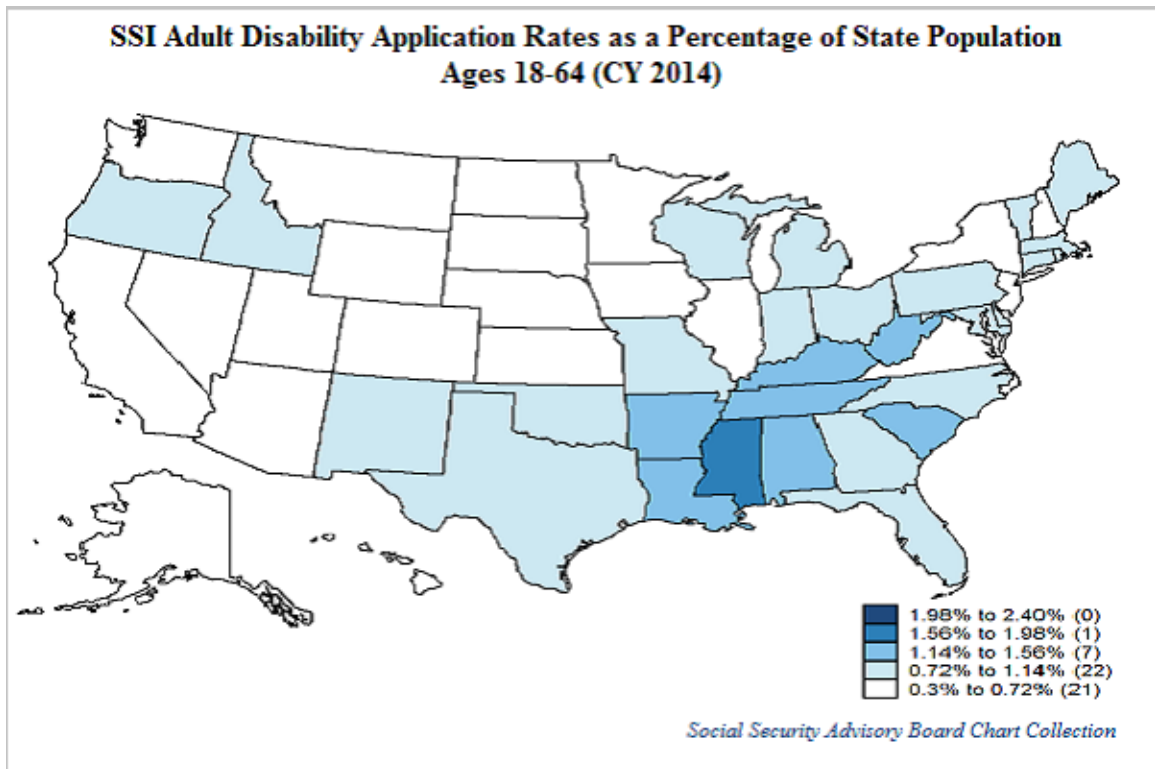
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2010*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2010/sect08.html#table61

Chart 4a: SSI Adult Disability Application Rates, 2014



As a percentage of State population ages 18 through 64, SSI adult application rates in 2014 ranged from 0.4 percent in North Dakota to 1.8 percent in Mississippi.

Source:

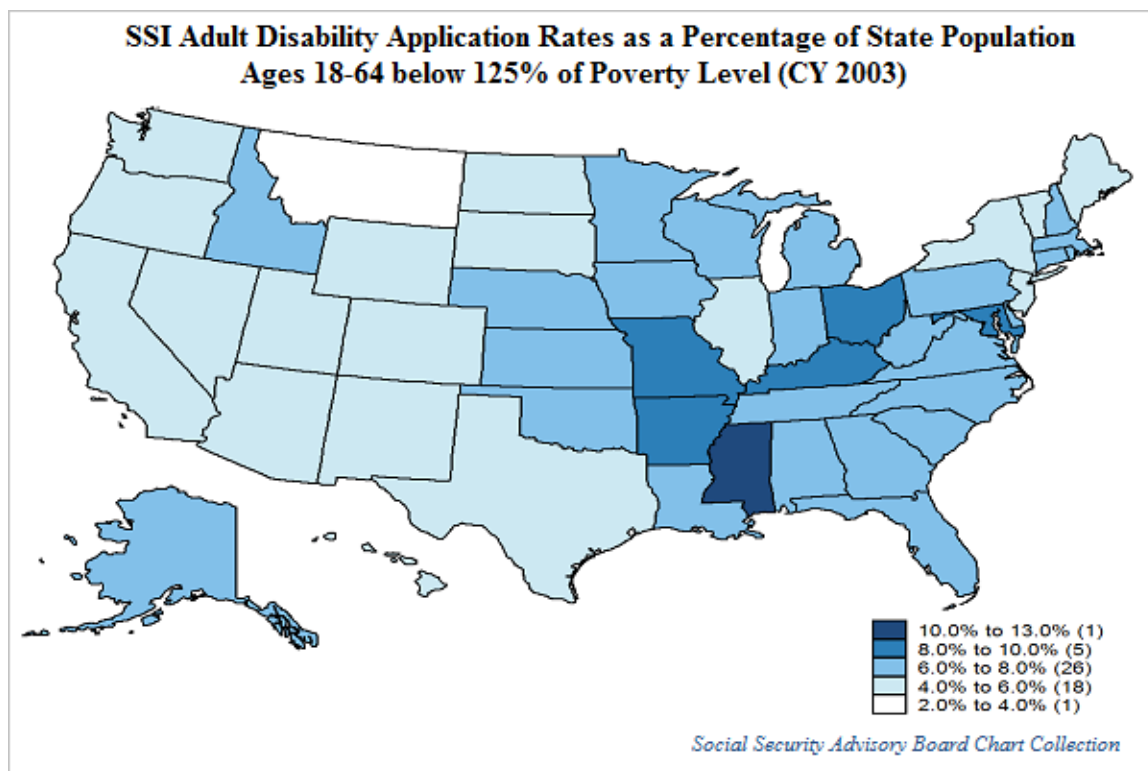
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2014*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2014/sect08.html#table61

Chart 4b: SSI Adult Application Rate below 125% of Poverty Level, 2003



As a percentage of State population 18 through 64 under 125 percent of the poverty level, SSI adult application rates ranged from 3.8 percent in Montana to 10.8 percent in Mississippi.

Source:

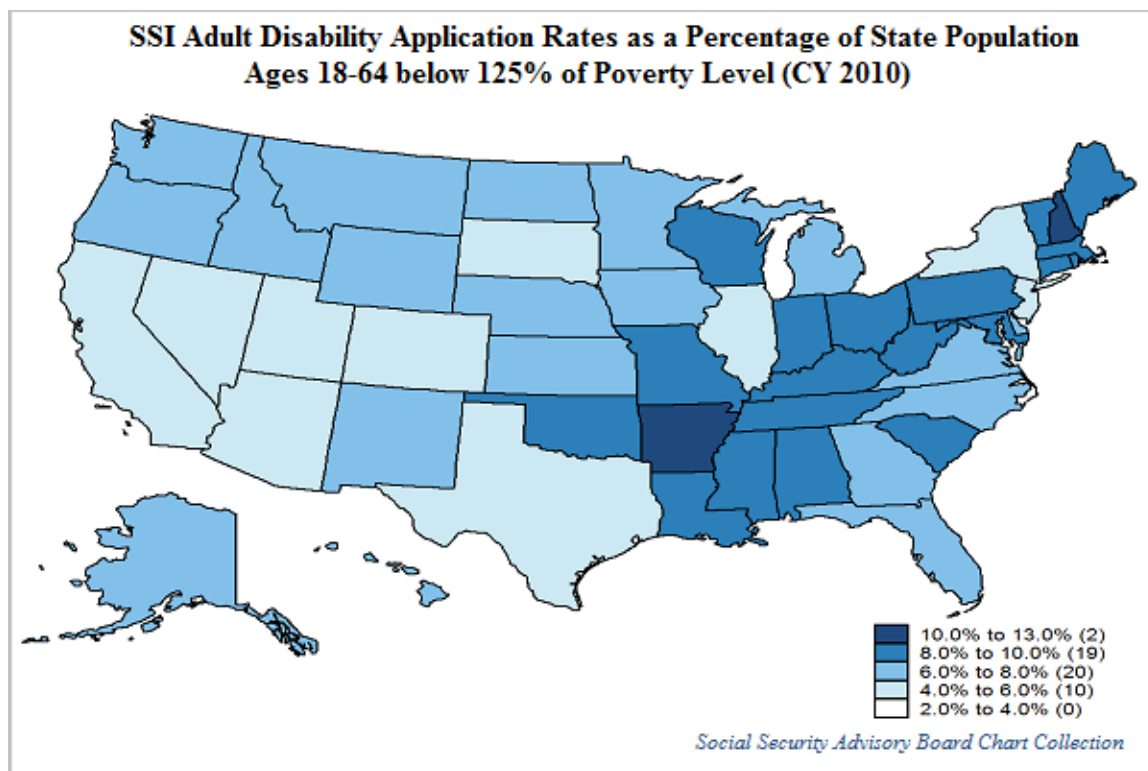
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *2004 Annual Social and Economic Supplement*, POV46, Poverty status by state, 2003, http://www.census.gov/hhes/www/cpstables/macro/032004/pov/new46_100125_05.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2003*, table 45, http://ssa.gov/policy/docs/statcomps/ssi_asr/2003/sect09.html

Chart 4b: SSI Adult Application Rate below 125% of Poverty Level, 2010



As a percentage of State population 18 through 64 under 125 percent of the poverty level, SSI adult application rates ranged from 4.1 percent in Arizona to 12.3 percent in New Hampshire.

Source:

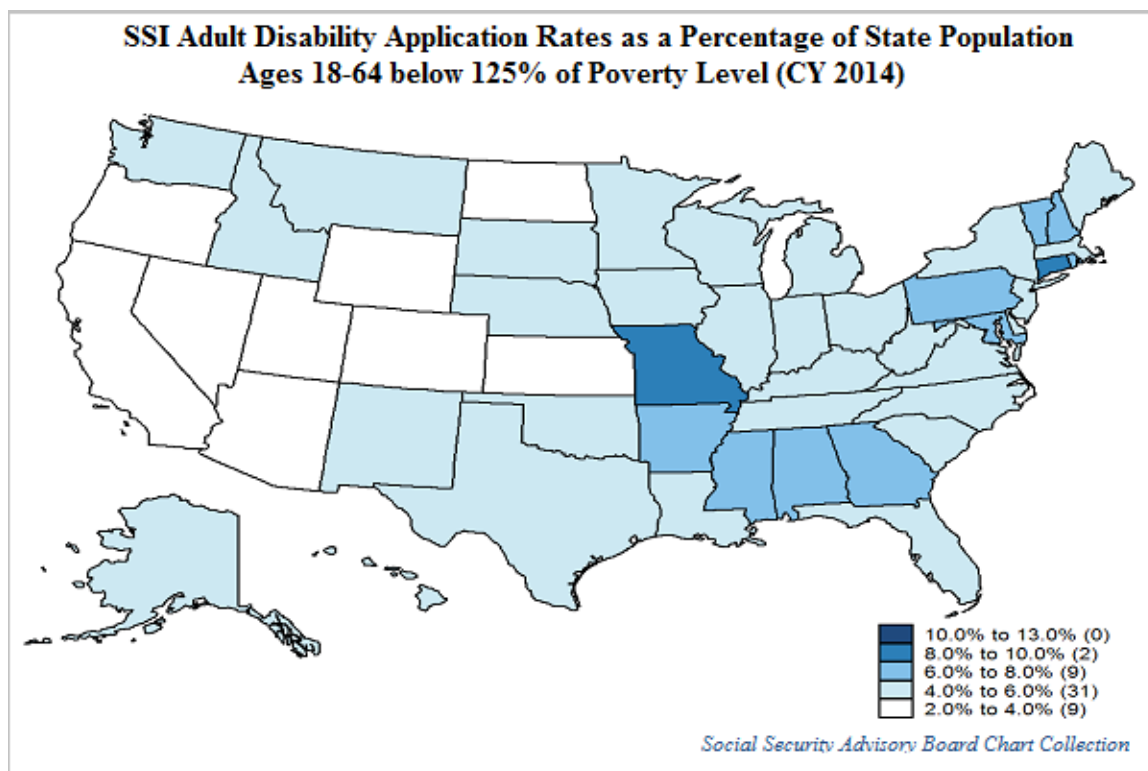
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *2011 Annual Social and Economic Supplement*, POV46, Poverty status by state, 2010, http://www.census.gov/hhes/www/cpstables/032011/pov/new46_100125_05.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2010*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2010/sect08.html#table61

Chart 4b: SSI Adult Application Rate below 125% of Poverty Level, 2014



As a percentage of State population 18 through 64 under 125 percent of the poverty level, SSI adult application rates ranged from 2.7 percent in Arizona to 8.4 percent in Connecticut.

Source:

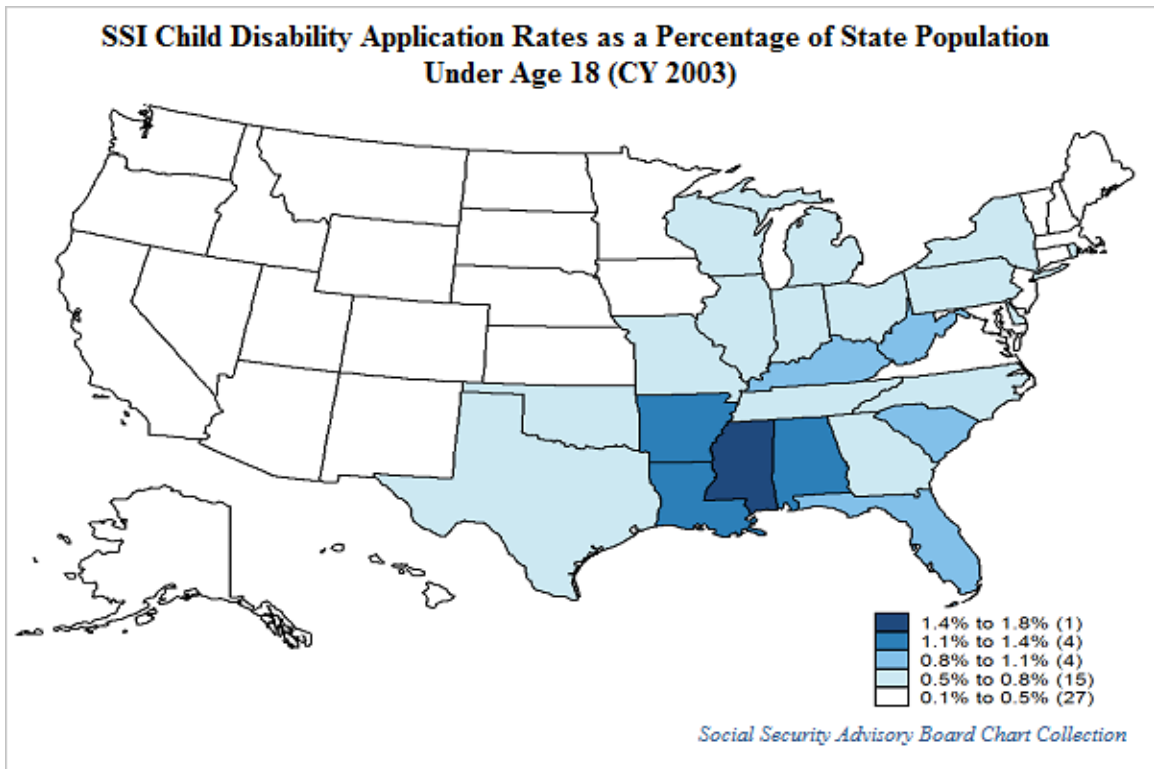
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *Annual Social and Economic Supplement, 2014 Poverty Tables* - table POV46 (weighted person count), March 2015, http://www.census.gov/hhes/www/cpstables/032015/pov/pov46_001_125138.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2014*, table 61, https://www.ssa.gov/policy/docs/statcomps/ssi_asr/2014/sect08.html#table61

Chart 5a: SSI Child Disability Application Rate, 2003



Disability application rates for SSI children as a percentage of the population are highest in the Southeast. The application rates ranged from 0.2 percent in Alaska and Utah to 1.6 percent in Mississippi.

Source:

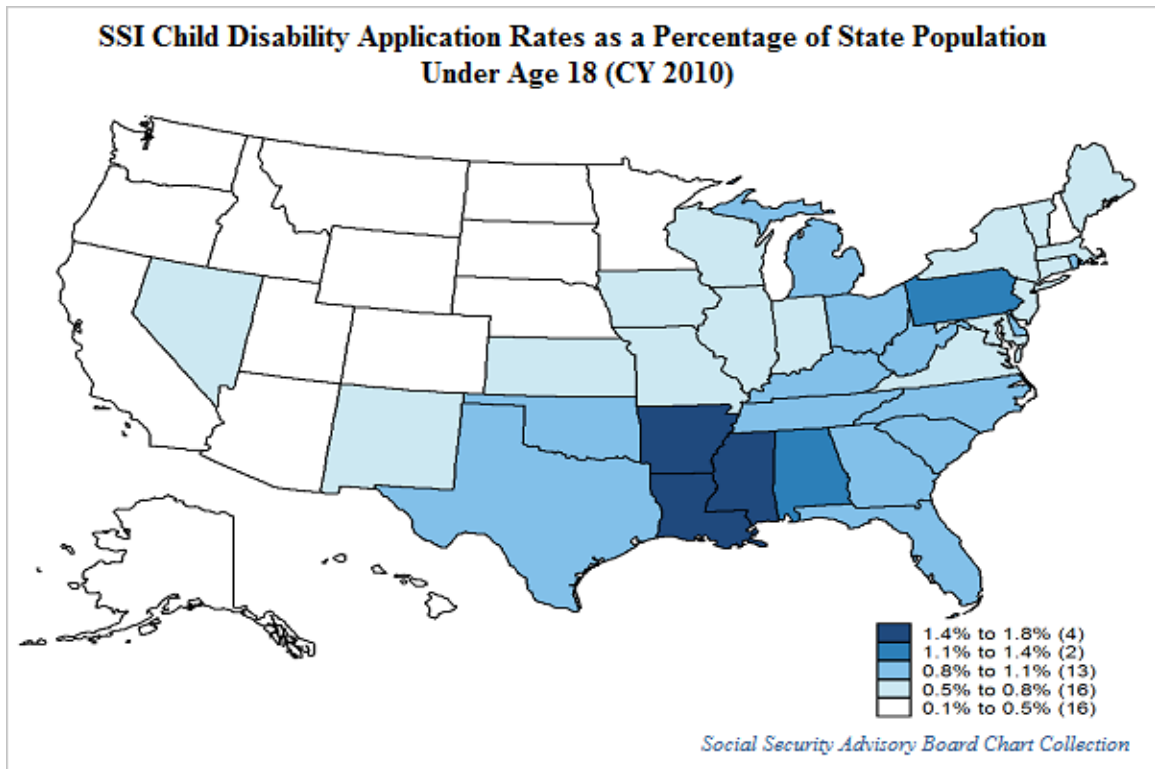
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2003*, table 45, http://ssa.gov/policy/docs/statcomps/ssi_asr/2003/sect09.htm

Chart 5a: SSI Child Disability Application Rate, 2010



As a percentage of State population under age 18, SSI child application rates in 2010 ranged from 0.2 percent in Utah and Hawaii to 1.7 percent in Arkansas and Mississippi.

Source:

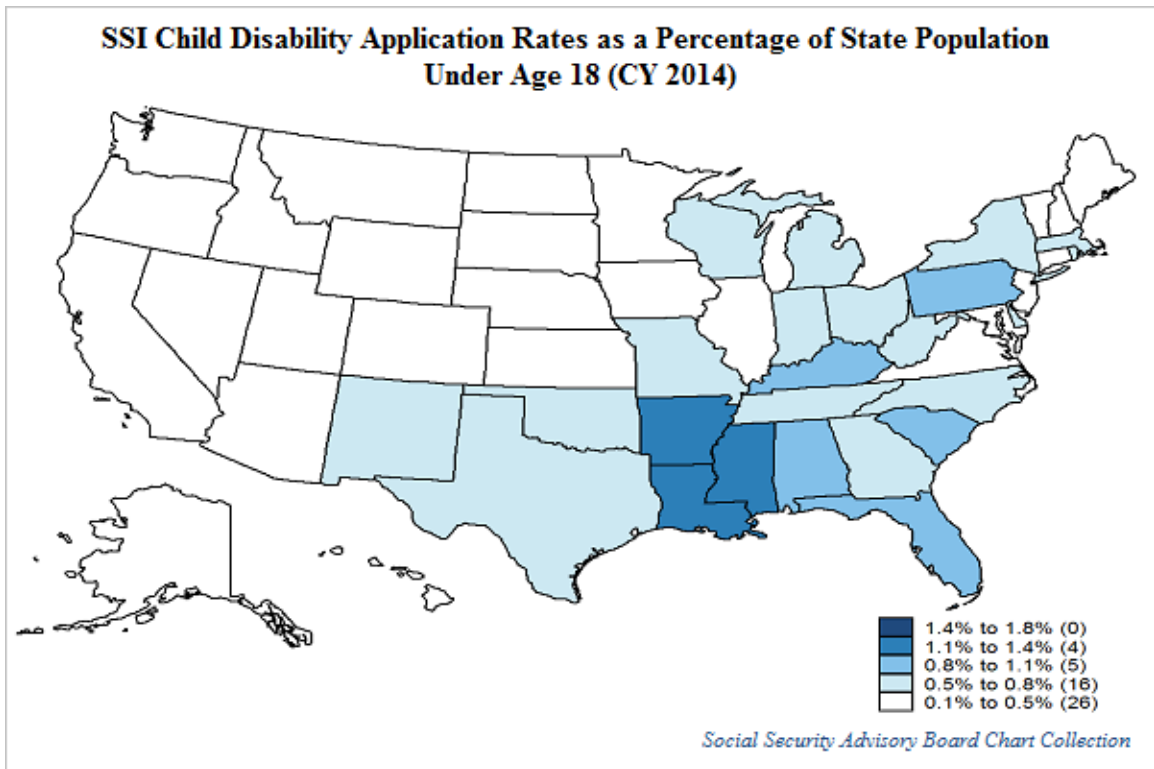
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2010*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2010/sect08.html#table61

Chart 5a: SSI Child Disability Application Rate, 2014



As a percentage of State population under age 18, SSI child application rates in 2010 ranged from 0.2 percent in Alaska, Colorado, Hawaii, North Dakota, Utah, and Wyoming to 1.4 percent in Mississippi.

Source:

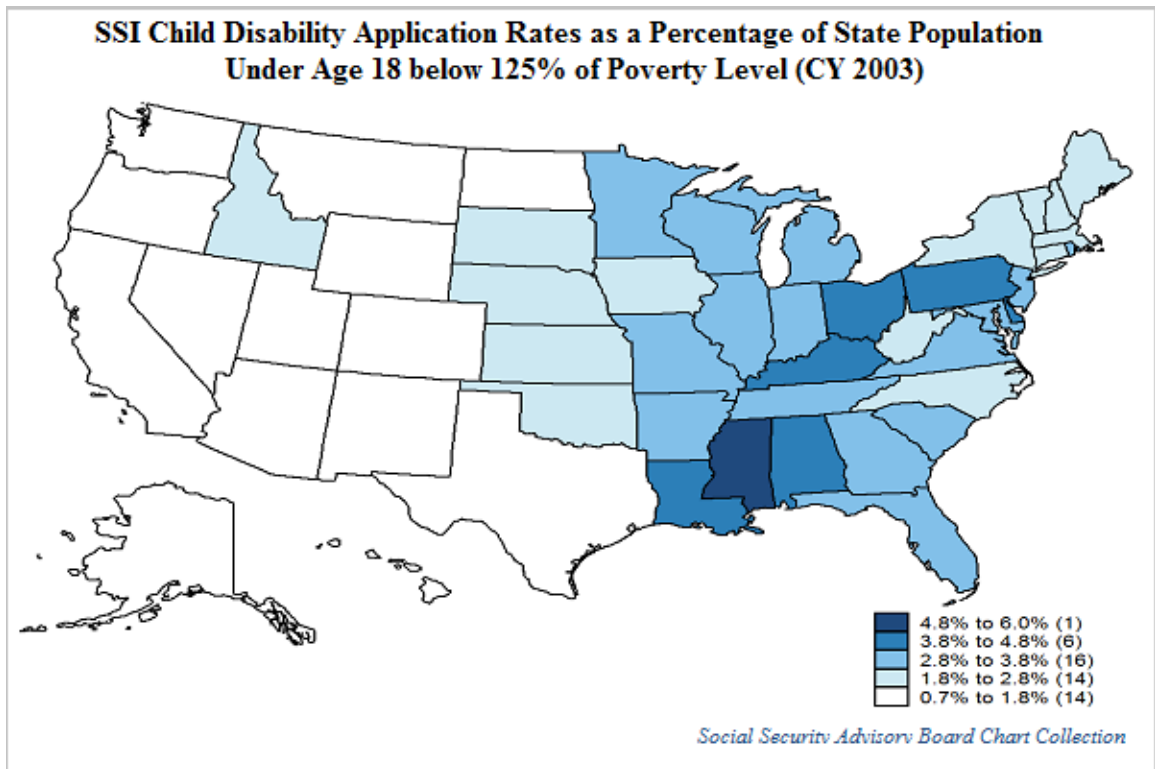
U.S. Population by state:

U.S. Census Bureau, Population Division, Annual Estimates of the Resident Population for Selected Age Groups by Sex for the United States and Puerto Rico.

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2014*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2014/sect08.html#table61

Chart 5b: SSI Child Disability Application Rates below 125% of Poverty Level, 2003



Expressed as a percentage of the population under 18 living in households with income below 125 percent of the poverty level in 2003, SSI child disability application rates range from 1.1 percent in Hawaii to 5.3 percent in Mississippi.

Source:

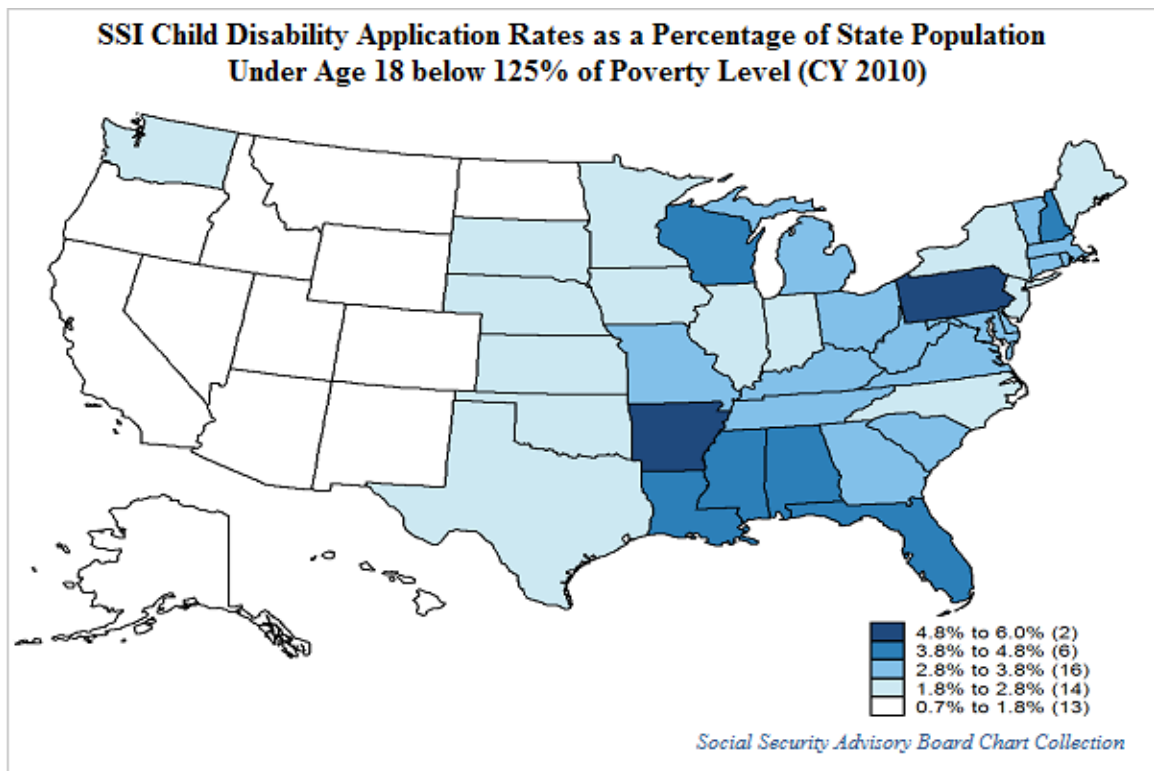
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *2004 Annual Social and Economic Supplement*, POV46, Poverty status by state, 2003, http://www.census.gov/hhes/www/cpstables/macro/032004/pov/new46_100125_03.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2003*, table 45, http://ssa.gov/policy/docs/statcomps/ssi_asr/2003/sect09.html

Chart 5b: SSI Child Disability Application Rates below 125% of Poverty Level, 2010



As a percentage of State population under age 18 below 125 percent of the poverty level, SSI child application rates ranged from 1 percent in Hawaii to 5.5 percent in Arkansas.

Source:

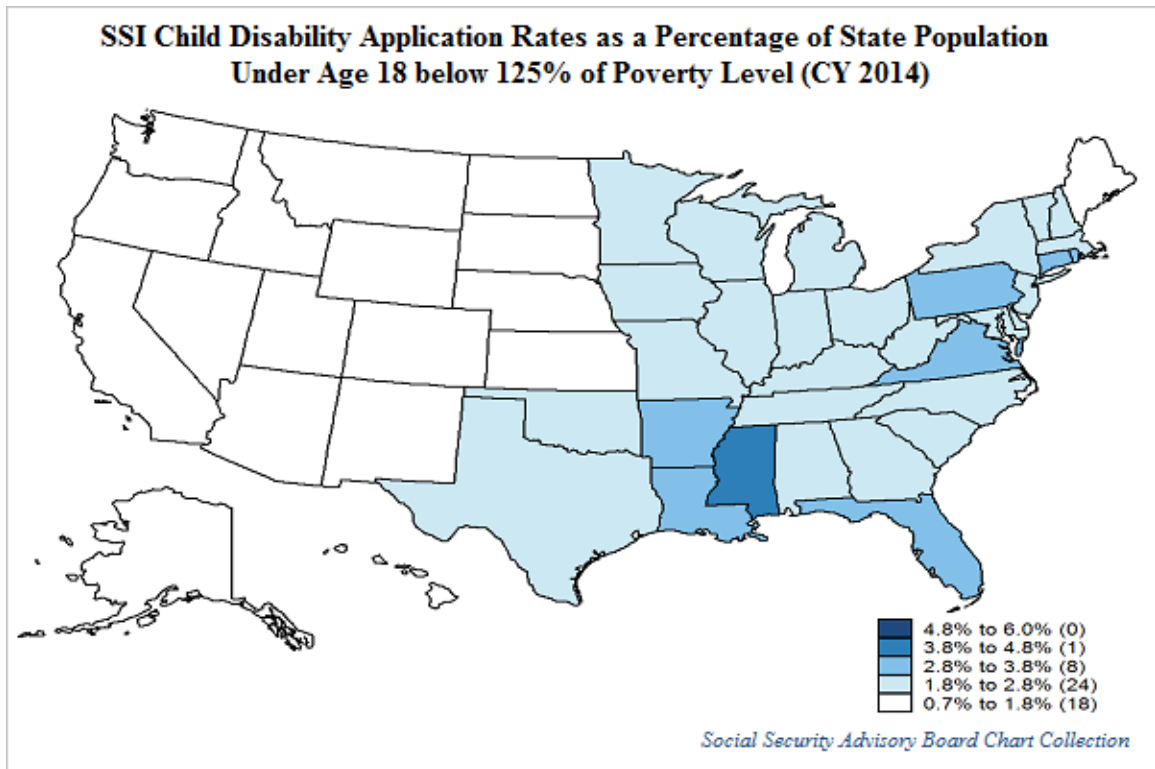
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *2011 Annual Social and Economic Supplement*, POV46, Poverty status by state, 2003, http://www.census.gov/hhes/www/cpstables/macro/032004/pov/new46_100125_03.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2010*, table 61, http://www.ssa.gov/policy/docs/statcomps/ssi_asr/2010/sect08.html#table61

Chart 5b: SSI Child Disability Application Rates below 125% of Poverty Level, 2014



As a percentage of State population under age 18 below 125 percent of the poverty level, SSI child application rates ranged from 0.8 percent in Hawaii to 3.8 percent in Arkansas and Mississippi.

Source:

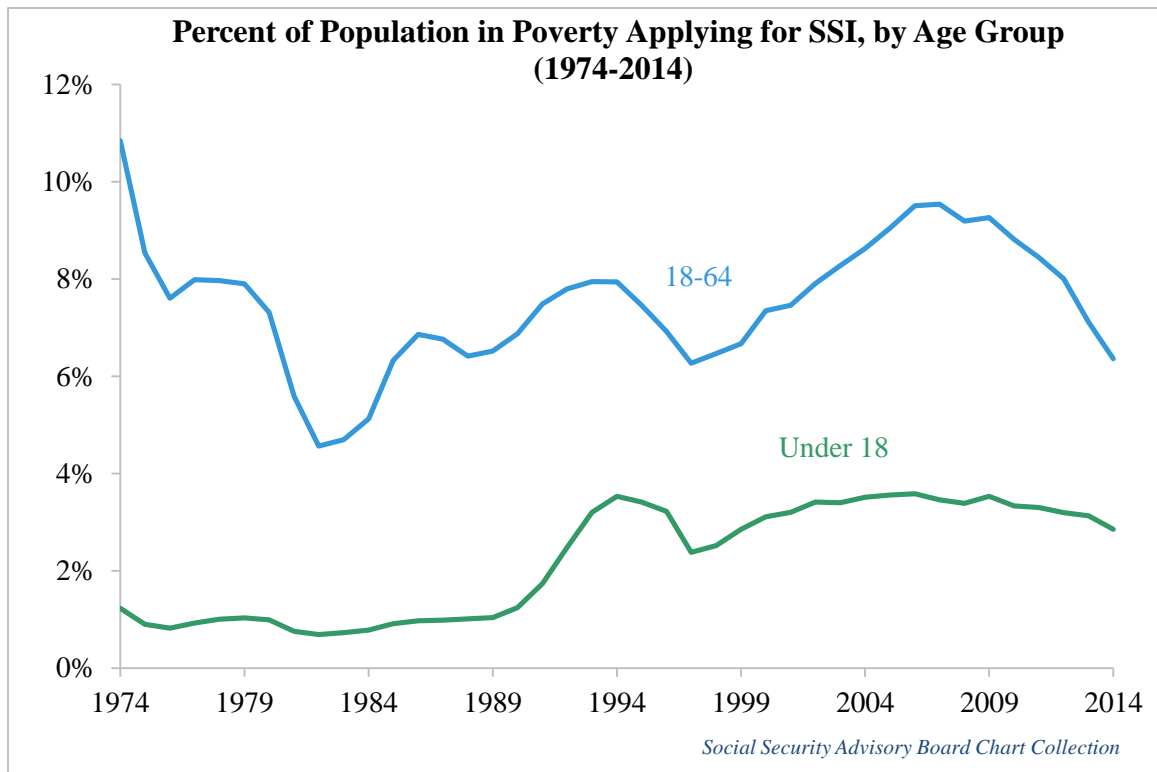
U.S. Population by State Poverty Level:

U.S. Census Bureau, Current Population Survey, *Annual Social and Economic Supplement, 2014 Poverty Tables* - table POV46 (weighted person count), March 2015, http://www.census.gov/hhes/www/cpstables/032015/pov/pov46_001_125138.htm

SSI Claims:

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2014*, table 61, https://www.ssa.gov/policy/docs/statcomps/ssi_asr/2014/sect08.html#table61

Chart 6: Population in Poverty Applying for SSI



From 1975 through 1989, the number of children under age 18 applying for SSI equaled 1 percent or less of children in poverty. That percentage began a sharp increase in 1990, the year of the Zebley decision (see Chart 1), and reached 3.5 percent in 1994 before beginning to decline. It did not decline to its pre-1990 levels, however, falling only to 2.4 percent in 1997 before beginning to rise again. Since 2002, it has been at or near the 3.5 percent level it had reached in 1994.

The number of adults ages 18 to 64 applying for SSI as a percentage of adults in poverty fell from an initial high of 10.8 percent in 1974, when the program began, to 4.6 percent in 1982 before beginning to rise again. Since 2005, it has been at 9 percent or higher before beginning to decline in 2010.

Source:

U.S. Census Bureau, Current Population Survey, *Annual Social and Economic Supplement, 2014 Poverty Tables* - table POV46 (weighted person count), http://www.census.gov/hhes/www/cpstables/032015/pov/pov46_001_10050.htm

U.S. Social Security Administration, Office of Retirement and Disability Policy, *SSI Annual Statistical Report, 2014*, table 57, https://www.ssa.gov/policy/docs/statcomps/ssi_asr/2014/sect08.html#table57